

Docket No.: 9668-C2-03-DCL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Dennis George Anthony Nelson

Serial No.: 10/630,526 Group Art Unit: 1614

Filed: July 30, 2003 Examiner: Not yet assigned

For: COMPOSITIONS COMPRISING A POTASSIUM SALT ACTIVE INGREDIENT,
INCLUDING ORAL COMPOSITIONS FOR REDUCING DENTAL NERVE AND
SENSITIVITY COMPRISING A NON-MENTHOL FLAVORING

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R §§ 1.98 (a) (1) and 1.98 (b), as well as references previously listed as part of the Information Disclosure Statement in the parent cases (8 sheets of references). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R SECTION 1.8

I hereby certify that this correspondence and any documents referred to as enclosed are being deposited, pursuant to 37 C.F.R. Section 1.8, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 26th day of January, 2004.

By: Christie Cicenia
Christie Cicenia

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney or agent of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98 (a) (2), unless otherwise indicated herein, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

The following are also included, if applicable, and as required under 37 C.F.R. § 1.98:

- In accordance with 37 C.F.R. § 1.98 (a) (3), the following concise explanation of the relevance of each listed reference that is not in the English language and is not accompanied by a translation into English or discussed in the present specification, is provided.
- The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (c), they are substantially cumulative of the _____ reference:
- The following listed references are not enclosed because, under 37 C.F.R. § 1.98 (d), they were previously cited by or submitted to the Office in the _____ prior application, which is relied upon for an earlier filing date under 35 U.S.C. 120.

The certification specified in 37 C.F.R. § 1.97 (e), if required, is made below:

- I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (1).
- I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97 (e) (2).

Please credit any overpayment or charge any additional fees to Deposit Account No.:
23-0458.

Date:

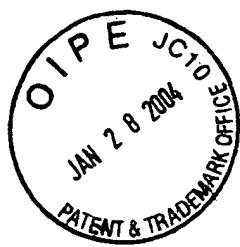
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Respectfully submitted,



Darryl C. Little
Reg. No. 40,703
Attorney For Applicant

Warner-Lambert Company LLC
201 Tabor Road
Morris Plains, NJ 07950
(973) 385-4401



Sheet 1 of 1

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| FORM PTO-1449 U.S. DEPARTMENT OF (Rev. 2-32) COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | | | | | ATTY. DOCKET NO. | SERIAL NO. |
| | | | | | | | | 9668-C2-03-DCL | 10/630,526 |
| | | | | | | | | APPLICANT Dennis Nelson | |
| | | | | | | | | FILING DATE July 30, 2003 | GROUP 1614 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|--|-----------------|---|---|---|---|---|---|---------|----------------|-------|----------|----------------------------|
| | | 5 | 7 | 5 | 6 | 0 | 7 | 4 | 5/26/98 | Ascione et al. | | | |
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FOREIGN PATENT DOCUMENTS

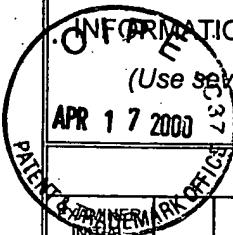
| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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| | | | | | | | | | | | | | YES NO | |
| WO | | 9 | 3 | 0 | 4 | 6 | 6 | 3 | 3/18/93 | PCT | | | | |
| WO | | 9 | 4 | 2 | 1 | 7 | 7 | 0 | 12/29/94 | PCT | | | | |
| WO | | 9 | 4 | 2 | 1 | 7 | 6 | 8 | 12/29/94 | PCT | | | | |
| WO | | 9 | 4 | 1 | 2 | 5 | 9 | 9 | 6/9/94 | PCT | | | | |
| WO | | 9 | 4 | 2 | 1 | 7 | 6 | 7 | 12/29/94 | PCT | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc)

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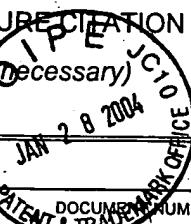
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ANY COPIES OF DOCUMENTS LISTED ON THIS FORM PTO-1449 SHOULD HAVE CERTAIN INFORMATION PLACED ALONG THE LEFT SIDE OF THE DOCUMENT. INFORMATION SUCH AS DOCKET NUMBER, FILING DATE, SERIAL NUMBER, ART UNIT, ETC.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

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ATTY. DOCKET NO. PC9668B

SERIAL NO. 09/503,431

APPLICANT Dennis G. A. Nelson

FILING DATE February 14, 2000

GROUP

U.S. PATENT DOCUMENTS

| | DOCUMENT NUMBER | | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-----|-----------------|---|---|---|---|---|---|---|----------|----------------------|-------|----------|----------------------------|
| SKR | 1. | 3 | 6 | 2 | 7 | 8 | 2 | 2 | 12/14/71 | B. Sundby | | | |
| | 2. | 3 | 8 | 6 | 3 | 0 | 0 | 6 | 01/28/75 | M. Hodosh | | | |
| | 3. | 3 | 9 | 2 | 9 | 5 | 7 | 9 | 12/30/75 | Y. Yoshimura et al. | | | |
| | 4. | 3 | 9 | 8 | 5 | 8 | 6 | 9 | 10/12/76 | Y. Yoshimura et al. | | | |
| | 5. | 4 | 0 | 5 | 7 | 6 | 2 | 1 | 11/08/77 | D.H. Pashley et al. | | | |
| | 6. | 4 | 2 | 8 | 3 | 3 | 8 | 5 | 08/11/81 | D. J. Dhabbar et al. | | | |
| | 7. | 4 | 3 | 4 | 6 | 4 | 9 | 3 | 08/31/82 | J. H. Goudsmit | | | |
| | 8. | 4 | 3 | 5 | 7 | 3 | 1 | 8 | 11/02/82 | N. B. Shah et al. | | | |
| | 9. | 4 | 4 | 0 | 0 | 3 | 7 | 3 | 08/23/83 | M. Hodosh | | | |
| | 10. | 4 | 4 | 3 | 2 | 1 | 1 | 4 | 02/21/84 | J. H. Goudsmit | | | |
| SLP | 11. | 4 | 5 | 8 | 1 | 2 | 9 | 2 | 04/08/86 | S. Shinpo et al. | | | |

FOREIGN PATENT DOCUMENTS

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| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | | ATTY. DOCKET NO. PC9668B | | | | SERIAL NO. 09/503,431 | | | | | |
|--|-------------------|-----------------|---|---|-------------------------------|---|---|---|-------------------------------|--------------------------|-----------------|---------------|----------------------------|-------------|
| | | | | | APPLICANT Dennis G. A. Nelson | | | | FILING DATE February 14, 2000 | | | GROUP | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| SKR | 12. | 4 | 6 | 1 | 8 | 4 | 8 | 8 | 10/21/86 | T. Maeyama et al. | | | | |
| | 13. | 4 | 6 | 3 | 1 | 1 | 8 | 5 | 12/23/86 | S. Kim | | | | |
| | 14. | 4 | 6 | 4 | 5 | 6 | 6 | 2 | 02/24/87 | S. Nakashima et al. | | | | |
| | 15. | 4 | 7 | 5 | 1 | 0 | 7 | 2 | 06/14/88 | S. Kim | | | | |
| | 16. | 4 | 7 | 5 | 6 | 9 | 0 | 3 | 07/12/88 | S. Shinpo et al. | | | | |
| | 17. | 4 | 9 | 3 | 3 | 1 | 7 | 1 | 06/12/90 | N. J. Bristow et al. | | | | |
| | 18. | 4 | 9 | 9 | 2 | 2 | 5 | 8 | 02/12/91 | S. C. Mason | | | | |
| | 19. | 5 | 0 | 1 | 5 | 4 | 6 | 6 | 05/14/91 | J. J. Parran, Jr. et al. | | | | |
| | 20. | 5 | 0 | 1 | 5 | 4 | 6 | 7 | 05/14/91 | H. C. Smitherman | | | | |
| | 21. | 5 | 0 | 8 | 7 | 4 | 4 | 4 | 02/11/92 | R. J. Jackson et al. | | | | |
| \$N | 22. | 5 | 0 | 9 | 8 | 7 | 1 | 1 | 03/24/92 | I. Hill et al. | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | TRANSLATION | | | |
| DOCUMENT NUMBER | | | | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | YES NO |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | | |
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| EXAMINER | <i>Steph Rose</i> | | | | | | | | | | DATE CONSIDERED | <i>8/7/01</i> | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | | | | | | |

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| | | APPLICANT Dennis G. A. Nelson | | | | | | | | | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| 8/82 | 23. | 5 | 1 | 5 | 3 | 0 | 0 | 6 | 10/06/92 | M. Hodosh | | | |
| | 24. | 5 | 1 | 6 | 0 | 7 | 3 | 7 | 11/03/92 | M. Friedman <u>et al.</u> | | | |
| | 25. | 5 | 1 | 6 | 5 | 9 | 1 | 3 | 11/24/92 | I. Hill <u>et al.</u> | | | |
| | 26. | 5 | 1 | 7 | 8 | 8 | 7 | 0 | 01/12/93 | M. J. M. Schaeken <u>et al.</u> | | | |
| | 27. | 5 | 1 | 8 | 2 | 0 | 9 | 9 | 01/26/93 | E. Jönsson <u>et al.</u> | | | |
| | 28. | 5 | 1 | 8 | 8 | 8 | 2 | 0 | 02/23/93 | D. Cummins <u>et al.</u> | | | |
| | 29. | 5 | 1 | 8 | 8 | 8 | 2 | 2 | 02/23/93 | J. P. Viccaro <u>et al.</u> | | | |
| | 30. | 5 | 2 | 2 | 7 | 1 | 5 | 4 | 07/13/93 | E. C. Reynolds | | | |
| | 31. | 5 | 2 | 4 | 0 | 6 | 9 | 6 | 08/31/93 | F. J. Van Der Ouderaa <u>et al.</u> | | | |
| | 32. | 5 | 2 | 4 | 0 | 6 | 9 | 7 | 08/31/93 | J. Norfleet <u>et al.</u> | | | |
| | 33. | 5 | 2 | 4 | 2 | 6 | 9 | 3 | 09/07/93 | Y. Kurihara <u>et al.</u> | | | |

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| EXAMINER INITIAL | APR 17 2000 | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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INFORMATION DISCLOSURE CITATION

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ATTY. DOCKET NO. PC9668B

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APPLICANT Dennis G. A. Nelson

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----|-----------------|---|---|---|---|---|---|----------|---------------------|-------|----------|----------------------------|
| SAR | 34. | 5 | 2 | 5 | 2 | 5 | 7 | 7 | 10/12/93 | M. M. Breuer et al. | | | |
| | 35. | 5 | 2 | 5 | 6 | 4 | 0 | 2 | 10/26/93 | M. Prencipe et al. | | | |
| | 36. | 5 | 2 | 5 | 8 | 1 | 7 | 3 | 11/02/93 | P. C. Waterfield | | | |
| | 37. | 5 | 2 | 7 | 0 | 0 | 3 | 1 | 12/14/93 | R. M. Lim et al. | | | |
| | 38. | 5 | 2 | 7 | 5 | 8 | 0 | 5 | 01/04/94 | N. Nabi et al. | | | |
| | 39. | 5 | 2 | 8 | 4 | 6 | 4 | 8 | 02/08/94 | R. D. White | | | |
| | 40. | 5 | 2 | 9 | 6 | 2 | 1 | 5 | 03/22/94 | M. R. Burke et al. | | | |
| | 41. | 5 | 3 | 1 | 0 | 5 | 4 | 2 | 05/10/94 | V. Au et al. | | | |
| | 42. | 5 | 3 | 2 | 8 | 6 | 8 | 2 | 07/12/94 | J. H. Pullen et al. | | | |
| | 43. | 5 | 3 | 5 | 2 | 4 | 3 | 9 | 10/04/94 | J. Norfleet et al. | | | |
| SA | 44. | 5 | 3 | 6 | 2 | 4 | 8 | 0 | 11/08/94 | V. Au et al. | | | |

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | ATTY. DOCKET NO. PC9668B | | | | | | | SERIAL NO. 09/503,431 | | |
| | | APPLICANT Dennis G. A. Nelson | | | | | | | | | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----|-----------------|---|---|---|---|---|---|----------|----------------------|-------|----------|----------------------------|
| <i>SKL</i> | 45. | 5 | 3 | 7 | 4 | 4 | 1 | 7 | 12/20/94 | J. Norfleet et al. | | | |
| | 46. | 5 | 4 | 0 | 3 | 5 | 7 | 7 | 04/04/95 | M. Friedman | | | |
| | 47. | 5 | 4 | 0 | 9 | 9 | 0 | 2 | 04/25/95 | R. G. Carson et al. | | | |
| | 48. | 5 | 4 | 1 | 6 | 0 | 7 | 5 | 05/16/95 | R. G. Carson et al. | | | |
| | 49. | 5 | 4 | 3 | 7 | 8 | 5 | 8 | 08/01/95 | H. Hungerbach et al. | | | |
| | 50. | 5 | 4 | 3 | 8 | 0 | 7 | 6 | 08/01/95 | M. Friedman et al. | | | |
| | 51. | 5 | 4 | 4 | 9 | 5 | 0 | 9 | 09/12/95 | R. J. Jackson et al. | | | |
| | 52. | 5 | 4 | 5 | 1 | 4 | 0 | 1 | 09/19/95 | K. W. Zerby et al. | | | |
| | 53. | 5 | 4 | 8 | 6 | 3 | 5 | 0 | 01/23/96 | J. Norfleet et al. | | | |
| | 54. | 5 | 4 | 8 | 7 | 9 | 0 | 6 | 01/30/96 | N. Dixit et al. | | | |
| <i>SP</i> | 55. | 5 | 4 | 9 | 0 | 9 | 7 | 8 | 02/13/96 | S. M. Spaltro et al. | | | |

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | | | | | ATTY. DOCKET NO. PC9668B | SERIAL NO. 09/503,431 | |
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| 6 of 7 | | | | | | | | | | |

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| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| SKr | 56. | 5 | 5 | 0 | 0 | 4 | 4 | 8 | 03/19/96 | D. Cummins et al. | | | 01/PE JC103 |
| | 57. | 5 | 5 | 0 | 3 | 8 | 2 | 3 | 04/02/96 | J. Norfleet et al. | | | JAN 28 2004 |
| | 58. | 5 | 5 | 0 | 5 | 9 | 3 | 3 | 04/09/96 | J. Norfleet et al. | | | PTENT & TRADEMARK OFFICE |
| | 59. | 5 | 5 | 2 | 2 | 7 | 2 | 6 | 06/04/96 | M. Hodosh | | | |
| | 60. | 5 | 5 | 3 | 4 | 2 | 4 | 3 | 07/09/96 | C. Dixon, Jr. et al. | | | |
| | 61. | 5 | 5 | 3 | 4 | 2 | 4 | 4 | 07/09/96 | M. S. Tung | | | |
| | 62. | 5 | 5 | 6 | 0 | 9 | 0 | 5 | 10/01/96 | M. F. Lukacovic | | | |
| | 63. | 5 | 5 | 6 | 5 | 1 | 9 | 0 | 10/15/96 | J. Santalucia et al. | | | |
| | 64. | 5 | 5 | 7 | 1 | 5 | 0 | 1 | 11/05/96 | A. Toy | | | |
| | 65. | 5 | 5 | 7 | 8 | 2 | 9 | 3 | 11/26/96 | M. Prencipe et al. | | | |
| SV | 66. | 5 | 5 | 7 | 8 | 2 | 9 | 4 | 11/26/96 | M. F. Lukacovic | | | |

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EXAMINER

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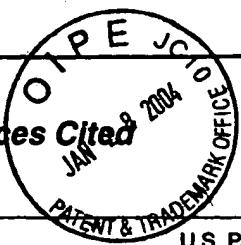
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| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | | | | | ATTY. DOCKET NO. PC9668B | | SERIAL NO. 09/503,431 | | | | |
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| | | | | | | | | APPLICANT Dennis G. A. Nelson | | FILING DATE February 14, 2000 | | GROUP | | |
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INFORMATION DISCLOSURE


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09/1503431

Applicant(s)

N8288 Nelson (GALLOPO)

Examiner

SHEP Rose

Group Art Unit

1614

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* A copy of this reference is not being furnished with this Office action.
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